

Unit trust application - Class A Units

Have you:

- Filled in all relevant sections?
- Filled in the direct debit form or direct credit form (for income)?
- Enclosed your cheque payable to Ignis Fund Managers Limited?
- Read the Simplified Prospectus? It is very important.
- Read the data protection statement within the Simplified Prospectus and signed the application form?

Data protection statement

We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other companies within The Phoenix Group may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading 'Personal Data'.

Note

We or other companies within The Phoenix Group may contact you with details of other products or special offers. If you would like to receive this information, please tick the box.

Telephone dealing services

Orders to buy or sell units may be sent by post or, alternatively, you may wish to telephone our direct dealing number. Transactions made by telephone are legally binding.

Dealing: 0141 222 8282

Dealing Fax: 0141 222 8273

For the protection of investors, all telephone calls received for this purpose are recorded. When you call, our experienced dealers will be pleased to assist.

It must be remembered the price of units and the income from them may go down as well as up.

Investor Support (Enquiries): 0800 317 749

Agent's stamp (please complete)

FSA Ref. No.

Network member

Yes No

If yes name of network

Unit holder (I am over 18 years of age)

Signature	
Date	2 0

Third unit holder (if joint application - I am over 18 years of age)

Signature	
Date	2 0

Second unit holder (if joint application - I am over 18 years of age)

Signature	
Date	2 0

Fourth unit holder (if joint application - I am over 18 years of age)

Signature	
Date	2 0

Note 1

It is a regulatory requirement to identify the beneficial owner of each investment. A beneficial owner is normally an individual who ultimately owns or controls the customer or on whose behalf a transaction or activity is being conducted. For private individuals, they themselves are normally the beneficial owner. For an investment made on behalf of a minor we require the minor's name to be entered into the designation section. The beneficial owner in this instance will be the named unitholder. For firms, beneficial owners own or control more than 25% of the firm, partnership or trust, and may not always be an individual. They may be a class of person, which should also be noted.

Note 2

Please make cheques payable to: 'Ignis Fund Managers Limited' (the product provider). Regular contributions must be made by direct debit and the first payment must be made using a cheque drawn from your own named (or joint named) bank or building society account. The account details must match those on your direct debit form.

Please return your completed application form, together with your cheque and/or completed direct debit form, to your financial adviser. Alternatively, please forward directly to Ignis Asset Management at the correspondence address shown overleaf or dial our direct dealing line number – 0141 222 8282.

Note 3

In line with the Money Laundering Regulations 2007, Proceeds of Crime Act 2002 and FSA requirements (as updated from time to time), we, or your adviser, may have to check evidence of your identity. This may involve us carrying out an electronic search of information using credit reference agencies which may keep a record of this information although this is only to verify your identity and will not affect your credit rating. We, or your adviser, also have to verify the source of your investment funds (if not from your own named account). Please therefore ensure your cheque is drawn from your own named (or joint named) bank or building society account to help us check your identity.

Address to write to: PO Box 9028 Chelmsford CM99 2WH Phone 0800 317 749 Fax 0141 222 8273 ignisasset.com

Ignis Asset Management is the trading name of the Ignis Asset Management Limited group of companies which includes Ignis Asset Management Limited, *Ignis Investment Services Limited and *Ignis Fund Managers Limited. Issued by Ignis Investment Services Limited. Registered in Scotland Number SC101825.

Registered Office: 50 Bothwell Street, Glasgow G2 6HR. Cartesian Capital™ and the Cartesian logo are trademarks of Ignis Investment Services Limited and are used under licence by Ignis Fund Managers Limited and Cartesian Capital Partners LLP.

*Authorised and regulated by the Financial Services Authority.

Contact: **Investor Support**
Call: **0800 317 749 (UK) +(44) 141 222 8079 (International)**
Fax: **0141 222 8273**
Web: **www.ignisasset.com**



ASSET MANAGEMENT

This communication does not attempt to provide a full analysis of those matters with which it deals and is provided for general information purposes only. It is not intended to constitute financial or legal advice and should not be treated as a substitute for professional advice. Ignis Investment Services Limited does not accept liability for any loss which may arise from reliance on the information in this communication.

Please note the full Prospectus is available free of charge.
For a copy, phone Investor Support on 0800 317 749.

Telephone calls may be monitored and/or recorded for the purposes of security, internal training, accurate account operation, internal customer monitoring and to improve the quality of service.

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ISA application - Class A Units

Have you:

- Filled in all relevant sections?
- Filled in the direct debit form or direct credit form (for income)?
- Enclosed your cheque payable to Ignis Fund Managers Limited?
- Read the Simplified Prospectus? It is very important.
- Read the data protection statement within the Simplified Prospectus and signed the application form?

Declaration and authority

- a) I declare the following:
- All subscriptions made and to be made, belong to me.
 - I am 18 years of age or over.
 - I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year. You may cancel my ISA if you find any of the above inaccurate.
 - I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year (or if contributing monthly, for the same tax years) that I subscribe to this stocks and shares ISA. You may cancel my ISA if you find any of the above inaccurate.
- b) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Ignis Fund Managers Limited if I cease to be so resident or ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- c) I authorise Ignis Fund Managers Limited:
- to hold my cash subscription, account investments, dividends and any other rights, distributions or proceeds in respect of those investments;
 - to make, on my behalf, any claims to relief from tax in respect of account investments, and
 - on my written request, to transfer or pay me (as I choose), account investments, dividends, rights or other proceeds in respect of these investments.
- d) I am applying to invest in an Ignis Fund Managers stocks and shares ISA (as noted on the previous page) under the Regulations for the tax year shown. The statements above are true and I understand and accept the terms of the account as shown in the ISA terms and conditions and Simplified Prospectus documents. I understand you will invest my money in the Fund(s) shown opposite.
- e) I confirm I have read and understood the additional notes below.

I agree with the above declaration and authority (see note 5) and I declare that this application form has been completed to the best of my knowledge and belief.

Your signature							
Date					2	0	

Note 1

The annual ISA investment allowance is £11,280 for the 2012/13 tax year for anyone eligible to invest in an ISA.

Note 2

You can invest in one cash ISA and one stocks and shares ISA in each tax year. Tax years run from 6 April to 5 April the following year. We only offer a stocks and shares ISA. The smallest amount you can invest as your initial lump sum is £500 (at least £100 in each fund) and £250 for extra investments. The smallest amount you can invest each month is £50 per month (at least £15 in each fund). You must make regular contributions by direct debit and you must make the first payment using a cheque drawn from your own named (or joint named) bank or building society account. The account details must match those on your direct debit form. You must make your cheque payable to Ignis Fund Managers Limited.

Note 3

The most you can invest each month in a stocks and shares ISA is £940. (see Note 1 above).

Note 4

In line with the Money Laundering Regulations 2007, Proceeds of Crime Act 2002 and FSA requirements (as updated from time to time), we, or your adviser, may have to check evidence of your identity. This may involve us carrying out an electronic search of information using credit reference agencies which may keep a record of this information although this is only to verify your identity and will not affect your credit rating. We, or your adviser, also have to verify the source of your investment funds (if not from your own named account). Please therefore ensure your cheque is drawn from your own named (or joint named) bank or building society account to help us check your identity.

Note 5

Please note that we are unable to accept an ISA application or ISA Transfer application signed by the prospective client's attorney unless the following requirements are met: The Power of Attorney must be either stamped with the official Court of Protection seal or accompanied by a letter of incapacity signed by the attorney, confirming the client is mentally or physically incapacitated. Photocopies of the Power of Attorney must be certified as true copies and signed on each and every page by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Data Protection

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Agent's stamp

(please complete) FSA Reference No.

Network member

Yes No

If yes name of network

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Evidence of identity (advisers only)

Have you attached a completed Identification Verification Form (original or certified)? Yes No

Have you verified the source of the Funds to be that of the clients? Yes No

Existing account transfer details

Details of current ISAs which you want to transfer.

(Please fill in a separate form for each ISA Manager from which Funds are being transferred).

Account manager			
Address			
		Postcode	
Contact (if you know)			

Existing ISA details

Account number(s)	Tax years to which the ISA(s) relate*	Cash or Stocks and Shares	Approximate value

*Please list all tax years individually.

Authorisation to existing account manager

I authorise you to sell the investments I hold in your ISA and transfer these in cash to Ignis Fund Managers Limited, PO Box 9028, Chelmsford CM99 2WH. I also authorise you to provide any information that Ignis Fund Managers Limited may need for any Individual Savings Account you manage on my behalf. This may include details of transactions made and dividends paid and details of the current portfolio and its value.

Your signature							
Date					2	0	

Contact: **Investor Support**

Call: **0800 317 749 (UK) +(44) 141 222 8079 (International)**

Fax: **0141 222 8273**

Web: **www.ignisasset.com**



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