

# Ignis Cartesian UK Opportunities Fund Unit trust application

**Have you:**

- Filled in all relevant sections?
- Filled in the direct debit form?
- Enclosed your cheque payable to Ignis Fund Managers Limited?
- Read the Simplified Prospectus? It is very important.
- Read the data protection statement within the Simplified Prospectus and signed the application form?

# Ignis Cartesian UK Opportunities Fund Unit Trust application form Class A units



**i** On page 7 of this Simplified Prospectus you can find details on how we will use the personal information you give here. Please use BLOCK CAPITALS and black ink. **Please also read the notes and Data Protection Statement and sign on the following page.**

## Unit holder(s) details

Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="checkbox"/>	First names (in full)	
Surname/Organisation Name		
Address (your permanent home)		
Phone number	Postcode	Date of birth
Other unit holders' details	2	Full names
		Date of birth
		Address
	3	Full names
		Date of birth
		Address
	4	Full names
		Date of birth
		Address
Full name of Beneficial Owner(s) if different from above (See Note 1)	Full name	
	Date of Birth	Date of Birth

Please note all correspondence will be sent to the first named unitholder address only. We must have a record of your residential address for HMRC reporting requirements.

## Designation

If this investment is being made on behalf of a minor (unit holders must be aged 18 or over) please enter their name below. (see note 1)

Name (in full)
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The holding will be registered in the name of the parent(s), grandparent(s) or guardian(s) using the minor's initials to identify the holding.

**Was advice given when you made this investment?** Yes  No  If you did not receive advice, the statutory rights to cancellation will not apply.

**Have you received simplified prospectus information for the Ignis Cartesian UK Opportunities Fund?** Yes  No

## Investment details

**Lump sum** (see notes 2 and 3 overleaf).

**Regular Savings** (see notes 2 and 3 overleaf). If you are filling in this section, please also remember to fill in the direct debit form below.

Fund	Lump Sum (minimum initial investment £500)	Regular Saving (minimum monthly investment £50)	Unit Type
Ignis Cartesian UK Opportunities Fund	£	£	Accumulation only

(Please do not detach this section)

Please continue onto the next page.



## Instruction to your bank or building society to pay by direct debit



To: The Manager	Bank/building society
Address	
Postcode	

Name(s) of account holder(s)
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Bank/building society account number
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Branch sort code
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Service user number	9	0	6	9	4	9
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Reference
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**Instructions to your bank or building society** – Please pay Ignis Fund Managers Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit guarantee (see back page of brochure).

I understand that this instruction may remain with Ignis Fund Managers Limited, and if so, details will be passed electronically to my bank /building society.

Signature 1	
Signature 2	
Date	2 0





Please note the full Prospectus is available free of charge.  
For a copy, phone our Investor Support Department on 0800 317 749.

Telephone calls may be monitored and/or recorded for the purposes of security, internal training,  
accurate account operation, internal customer monitoring and to improve the quality of service.

Address to write to: PO Box 9028 Chelmsford CM99 2WH  
Phone 0800 317 749 Fax 0141 222 8273 [ignisasset.com](http://ignisasset.com)

Ignis Asset Management is the trading name of the Ignis Asset Management Limited group of companies which includes Ignis Asset Management Limited, \*Ignis Investment Services Limited and \*Ignis Fund Managers Limited. Issued by Ignis Investment Services Limited. Registered in Scotland Number SC101825. Registered Office: 50 Bothwell Street, Glasgow G2 6HR. Cartesian Capital™ and the Cartesian logo are trademarks of Ignis Investment Services Limited and are used under licence by Ignis Fund Managers Limited and Cartesian Capital Partners LLP.

\*Authorised and regulated by the Financial Services Authority.

# Ignis Cartesian UK Opportunities Fund ISA application

**Have you:**

- Filled in all relevant sections?
- Filled in the direct debit form?
- Enclosed your cheque payable to Ignis Fund Managers Limited?
- Read the Simplified Prospectus? It is very important.
- Read the data protection statement and signed the declaration and authority?

# Ignis Cartesian UK Opportunities Fund ISA application form Class A units



**i** On page 7 of this Simplified Prospectus you can find details on how we will use the personal information you give here.  
Please use BLOCK CAPITALS and black ink. **Please also read the notes and Data Protection Statement and sign the Declaration and authority on the following page.**

**Personal details** Please make sure you give us the following details. We cannot open your ISA without this information.

Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="checkbox"/>	First names (in full)											
Surname												
Address (your permanent home)												
Postcode												
Date of birth												
1 9												
Do you have a National insurance number?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	National insurance number*									

\*If you do not know your National Insurance Number, see your P60, payslip, notice of coding or tax return. Otherwise your employer may be able to help you. This is an HM Revenue and Customs requirement and you must fill it in. Please note we must have a record of your residential address for HMRC reporting requirements.

**Did you receive advice when you made this investment?** Yes  No  If you did not receive advice, the statutory rights to cancellation will not apply.

**Have you received the Simplified Prospectus document for the fund(s) in which you plan to invest?** Yes  No

## Investment details

I wish to subscribe to a Stocks and Shares ISA for the tax year 2 0 / 2 0

Please note the maximum annual ISA investment allowances in note 1 overleaf.

**Lump sum** (see notes 2 and 4 overleaf)

**Regular Savings** (see notes 2, 3 and 4 overleaf). If you are filling in this section, please also remember to fill in the direct debit form below. Monthly contributions will continue into future tax years unless you tell us to change or stop them.

Fund	Lump Sum (minimum initial investment £500)	Regular Saving (minimum monthly investment £50)	Unit Type
Ignis Cartesian UK Opportunities Fund	£	£	Accumulation only

(Please do not detach this section)

Please continue onto the next page.



## Instruction to your bank or building society to pay by direct debit



To: The Manager	Bank/building society
Address	
Postcode	

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Service user number 9 0 6 9 4 9

Reference

**Instructions to your bank or building society** – Please pay Ignis Fund Managers Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit guarantee (see back page of brochure). I understand that this instruction may remain with Ignis Fund Managers Limited, and if so, details will be passed electronically to my bank /building society.

Signature 1	
Signature 2	
Date	2 0

## Declaration and authority

a) I declare the following:

- All subscriptions made and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year. You may cancel my ISA if you find any of the above inaccurate.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year (or if contributing monthly, for the same tax years) that I subscribe to this stocks and shares ISA. You may cancel my ISA if you find any of the above inaccurate.

b) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Ignis Fund Managers Limited if I cease to be so resident or ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

c) I authorise Ignis Fund Managers Limited:

- to hold my cash subscription, account investments, dividends and any other rights, distributions or proceeds in respect of those investments;
- to make, on my behalf, any claims to relief from tax in respect of account investments, and
- on my written request, to transfer or pay me (as I choose), account investments, dividends, rights or other proceeds in respect of these investments.

d) I am applying to invest in an Ignis Fund Managers stocks and shares ISA (as noted on the previous page) under the Regulations for the tax year shown. The statements above are true and I understand and accept the terms of the account as shown in the ISA terms and conditions and Simplified Prospectus documents. I understand you will invest my money in the Fund(s) shown opposite.

e) I confirm I have read and understood the additional notes below.

**I agree with the above declaration and authority (see note 5) and I declare that this application form has been completed to the best of my knowledge and belief.**

Your signature	
Date	2 0

### Note 1

The annual ISA investment allowance is £10,200 per tax year for anyone eligible to invest in an ISA.

### Note 2

You can invest in one cash ISA and one stocks and shares ISA in each tax year. Tax years run from 6 April to 5 April the following year. We only offer a stocks and shares ISA. The smallest amount you can invest as your initial lump sum is £500 (at least £100 in each fund) and £250 for extra investments. The smallest amount you can invest each month is £50 per month (at least £15 in each fund). You must make regular contributions by direct debit and you must make the first payment using a cheque drawn from your own named (or joint named) bank or building society account. The account details must match those on your direct debit form. You must make your cheque payable to Ignis Fund Managers Limited.

### Note 3

The most you can invest each month in a stocks and shares ISA is £850. (see Note 1 above).

### Note 4

In line with the Money Laundering Regulations 2007, Proceeds of Crime Act 2002 and FSA requirements (as updated from time to time), we, or your adviser, may have to check evidence of your identity. This may involve us carrying out an electronic search of information using credit reference agencies. We, or your adviser, also have to check where your investment Funds are coming from (if not from your own named account). As a result, please make sure you pay your cheque from your own named (or joint named) bank or building society account to assist any checking requirements.

### Note 5

Please note that we are unable to accept an ISA application signed by the prospective client's attorney unless the following requirements are met: The Power of Attorney must be either stamped with the official Court of Protection seal or accompanied by a letter of incapacity signed by the attorney, confirming the client is mentally or physically incapacitated. Photocopies of the Power of Attorney must be certified as true copies and signed on each and every page by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

## Data Protection

We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other companies within the Phoenix group of companies may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading 'Personal Data'.

We or other companies within the Phoenix group of companies may contact you with details of other products or special offers. If you would like to receive this information, please tick the box.

## Agent's stamp

(please complete) FSA Reference No.

## Network member

Yes  No

If yes name of network

## Evidence of identity (advisers only)

Have you attached a completed Identification Verification Form Yes  No   
(original or certified)?

Have you verified the source of the Funds to be that of the clients? Yes  No

## Existing account transfer details

Details of current ISAs which you want to transfer.

(Please fill in a separate form for each ISA Manager from which funds are being transferred).

Account manager	
Address	
	Postcode
Contact (if you know)	

## Existing ISA details

Account number(s)	Tax years to which the ISA(s) relate*	Cash or Stocks and Shares	Approximate value
	-- / --		
	-- / --		
	-- / --		
	-- / --		

\*Please list all tax years individually.

## Authorisation to existing account manager

I authorise you to sell the investments I hold in your ISA and transfer these in cash to Ignis Fund Managers Limited, PO Box 9028, Chelmsford CM99 2WH. I also authorise you to provide any information that Ignis Fund Managers Limited may need for any Individual Savings Account you manage on my behalf. This may include details of transactions made and dividends paid and details of the current portfolio and its value.

Your signature	
Date	2 0



Please note the full Prospectus is available free of charge.  
For a copy, phone our Investor Support Department on 0800 317 749.

Telephone calls may be monitored and/or recorded for the purposes of security, internal training, accurate account operation, internal customer monitoring and to improve the quality of service.

Address to write to: PO Box 9028 Chelmsford CM99 2WH  
Phone 0800 317 749 Fax 0141 222 8273 [ignisasset.com](http://ignisasset.com)

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